

# Graph-Based Resource Allocation with Conflict Avoidance for V2V Broadcast Communications

**Luis F. Abanto-Leon**

Co-authors: Arie Koppelaar

Sonia Heemstra de Groot

Department of Electrical Engineering  
Eindhoven University of Technology

IEEE International Symposium on Personal, Indoor and Mobile  
Radio Communications (IEEE PIMRC 2017)



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# Background

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- 3GPP<sup>1</sup> recently proposed a novel resource allocation notion called **vehicle-to-vehicle (V2V) mode-3**.

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<sup>1</sup>The 3rd Generation Partnership Project

<sup>2</sup>Initially aimed at supporting proximity services (ProSe).

<sup>3</sup>Pilot symbols more closely spaced for channel estimation in high Doppler.

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- Additional modifications have been applied in order to support more dynamic scenarios
  - Denser distribution of DMRS<sup>3</sup>

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- Additional modifications have been applied in order to support more dynamic scenarios
  - Denser distribution of DMRS<sup>3</sup>
  - A novel structure that supports adjacent (*i*) scheduling assignments and (*ii*) data resources

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# Background

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- Thus, besides **uplink** and **downlink** (Uu), vehicles can also communicate via **sidelink** (PC5), which sustains direct communications between vehicles.

# V2V Mode-3 Operation

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- Conversely to mainstream communications, in **V2V mode-3** data traffic from/to vehicles do not traverse the eNodeB.

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# V2V Mode-3 Operation

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  - eNodeBs **only** intervene in the **resource allocation** process.
  - Thereupon, **vehicles communicate directly**—with their counterparts via sidelink—in a broadcast manner.
- In **safety** applications, vehicles would typically exchange **cooperative awareness messages (CAMs)**.

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# V2V Mode-3 Operation (cont'd)

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- A CAM message contains information such as
  - position,
  - velocity,
  - direction, etc.of a vehicle.

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- Due to the one-to-all nature of V2V *mode-3*, the allocation of resources (or subchannels) slightly differs from mainstream communications.

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- As these messages transport important information, it is crucial that they are transmitted **reliably**.
- Due to the one-to-all nature of V2V *mode-3*, the allocation of resources (or subchannels) slightly differs from mainstream communications.
- **Example:** *If two vehicles transmit concurrently they will not receive the CAM message of the other.*

# Vehicular Scenario

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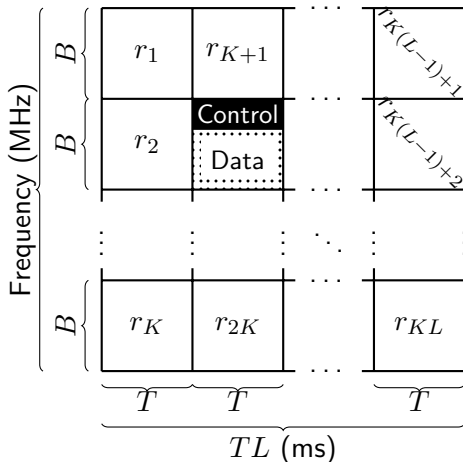
# Vehicular Scenario

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# Sidelink Channelization

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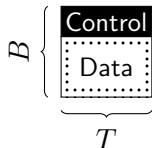


- $T$ : duration of a subframe
- $K$ : number of subchannels per subframe
- $L$ : total number of subframes for allocation
- $B$ : subchannel bandwidth

# Subchannel Structure

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Assuming a 10 MHz ITS (Intelligent Transportation Systems) channel, up to 7 subchannels per subframe can be obtained. Thus,



- $B$ : 1.26 MHz
- $T$ : 1 ms (2 slots of 0.5 ms each)
- Control: 2 RBs<sup>5</sup> per slot  $\leftarrow$  24 subcarriers
- Data: 5 RBs per slot  $\leftarrow$  60 subcarriers

## Subchannel

A subchannel of 7 RBs is capable of transporting a basic CAM message with a payload of 200 bytes.

<sup>5</sup>RB: A resource block consists of 12 subcarriers

# Problem Formulation

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## Antecedents

- Vehicles can either transmit or receive at a time<sup>6</sup>.
- When two or more vehicles transmit concurrently in subchannels of the same subframe, a **conflict** is generated.

## Objectives

- Attain a **conflict-free subchannel assignment**.
- Maximize the sum-capacity of the system

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<sup>6</sup>Due to half-duplex PHY assumption

# Problem Formulation (cont'd)

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## Antecedents

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## Proposed Solution

- The subchannel allocation problem is approached as a **bipartite graph matching**.
- Additional constraints have been considered in order to **prevent conflicts** from occurring.

# General Assumptions

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- There are available **spectrum resources** for **uplink** and **downlink**.

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- The eNodeB notifies the vehicles on their assigned subchannel via **downlink**.



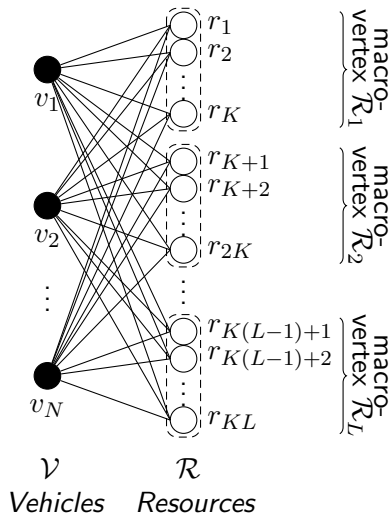
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- Vehicles **report to eNodeBs the channel conditions** they perceive (e.g. CQI, SINR).
- The eNodeB performs the assignment of subchannels based on the information received.
- The eNodeB notifies the vehicles on their assigned subchannel via **downlink**.
- Then, vehicles start broadcasting CAM messages.

# Graph Representation of Subchannel Allocation

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$$\max \sum_{i=1}^N \sum_{j=1}^{KL} c_{ij} x_{ij}$$

subject to

$$\sum_{j=1}^{KL} x_{ij} = 1, \quad i = 1, 2, \dots, N$$

$$\sum_{i=1}^N \sum_{j \in \mathcal{R}_\alpha} x_{ij} = 1, \quad \alpha = 1, 2, \dots, L$$

$$x_{ij} = \{0, 1\}.$$

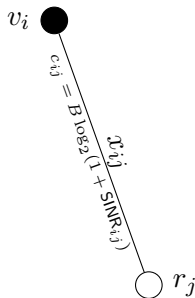
# Optimization Problem

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The optimization problem can be recast as:

$$\max \mathbf{c}^T \mathbf{x} \quad (2a)$$

$$\text{subject to } \left[ \frac{\mathbf{I}_{N \times N} \otimes \mathbf{1}_{1 \times N}}{\mathbf{1}_{1 \times N} \otimes \mathbf{I}_{N \times N}} \right] \otimes \mathbf{1}_{1 \times K} \mathbf{x} = \mathbf{1} \quad (2b)$$



Note: For completeness, we have assumed that the number of vehicles is equal to the number of subframes, i.e.  $N = L$

**This problem structure cannot be exploited to be approached by known matching algorithms. So we proceed as follows**

# Optimization Problem

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## Objective Function

$$\max \mathbf{c}^T \mathbf{x}$$

Because  $\mathbf{x} \in \mathbb{B}^{MK}$ , then the objective function can be recast as

$$\mathbf{c}^T \mathbf{x} \equiv \mathbf{x}^T \text{diag}(\mathbf{c}) \mathbf{x}$$

without affecting optimality.

*Note that  $M = N^2$ .*

# Optimization Problem

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## Objective Function

$$\max \mathbf{c}^T \mathbf{x}$$

For any vehicle  $v_i$ ,

$$x_{ij}x_{ik} = 0, \quad r_j, r_k \in \mathcal{R}_\alpha.$$

Moreover,

$$c_{ij}x_{ij}x_{ik} = 0, \quad r_j, r_k \in \mathcal{R}_\alpha.$$

In general, for  $N$  vehicles

$$\mathbf{x}^T (\mathbf{I}_{M \times M} \otimes [\mathbf{1}_{K \times K} - \mathbf{I}_{K \times K}]) \text{diag}(\mathbf{c}) \mathbf{x} = 0.$$

# Optimization Problem

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## Objective Function

$$\max \mathbf{c}^T \mathbf{x}$$

As long as  $\mathbf{x}^T (\mathbf{I}_{M \times M} \otimes [\mathbf{1}_{K \times K} - \mathbf{I}_{K \times K}]) \text{diag}(\mathbf{c}) \mathbf{x} = 0$  holds, conflicts will be prevented.

We can aggregate this condition to the objective function. Hence,

$$\mathbf{c}^T \mathbf{x} = \mathbf{x}^T \text{diag}(\mathbf{c}) \mathbf{x} + \mathbf{x}^T (\mathbf{I}_{M \times M} \otimes [\mathbf{1}_{K \times K} - \mathbf{I}_{K \times K}]) \text{diag}(\mathbf{c}) \mathbf{x}$$

Further manipulation leads to

$$\mathbf{c}^T \mathbf{x} = \mathbf{x}^T (\mathbf{I}_{M \times M} \otimes \mathbf{1}_{K \times K}) \text{diag}(\mathbf{c}) \mathbf{x}$$

# Optimization Problem

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## Objective Function

$$\max \mathbf{c}^T \mathbf{x}$$

### Property 1 (Product of two tensor products)

Let  $\mathbf{X} \in \mathbb{R}^{m \times n}$ ,  $\mathbf{Y} \in \mathbb{R}^{r \times s}$ ,  $\mathbf{W} \in \mathbb{R}^{n \times p}$ , and  $\mathbf{Z} \in \mathbb{R}^{s \times t}$ , then

$$\mathbf{X}\mathbf{Y} \otimes \mathbf{W}\mathbf{Z} = (\mathbf{X} \otimes \mathbf{W})(\mathbf{Y} \otimes \mathbf{Z}) \in \mathbb{R}^{mr \times pt}$$

$$\begin{aligned} \mathbf{c}^T \mathbf{x} &= \mathbf{x}^T (\mathbf{I}_{M \times M} \otimes \mathbf{1}_{K \times K}) \text{diag}(\mathbf{c}) \mathbf{x} \\ &= \mathbf{x}^T (\mathbf{I}_{M \times M} \mathbf{I}_{M \times M} \otimes \mathbf{1}_{K \times 1} \mathbf{1}_{1 \times K}) \text{diag}(\mathbf{c}) \mathbf{x} \\ &= \underbrace{\mathbf{x}^T (\mathbf{I}_{M \times M} \otimes \mathbf{1}_{K \times 1})}_{\mathbf{y}^T} \underbrace{(\mathbf{I}_{M \times M} \otimes \mathbf{1}_{1 \times K}) \text{diag}(\mathbf{c}) \mathbf{x}}_{\mathbf{d}} \end{aligned}$$

# Optimization Problem

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## Constraints

$$\text{subject to } \left[ \frac{\mathbf{I}_{N \times N} \otimes \mathbf{1}_{1 \times N}}{\mathbf{1}_{1 \times N} \otimes \mathbf{I}_{N \times N}} \right] \otimes \mathbf{1}_{1 \times K} \mathbf{x} = \mathbf{1}$$

### Property 2 (Pseudo-inverse of a tensor product)

Let  $\mathbf{X} \in \mathbb{R}^{m \times n}$  and  $\mathbf{Y} \in \mathbb{R}^{r \times s}$ , then

$$(\mathbf{X} \otimes \mathbf{Y})^\dagger = \mathbf{X}^\dagger \otimes \mathbf{Y}^\dagger \in \mathbb{R}^{ns \times mr}$$



# Optimization Problem

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## Constraints

$$\text{subject to } \left[ \frac{\mathbf{I}_{N \times N} \otimes \mathbf{1}_{1 \times N}}{\mathbf{1}_{1 \times N} \otimes \mathbf{I}_{N \times N}} \right] \otimes \mathbf{1}_{1 \times K} \mathbf{x} = \mathbf{1}$$

$$\begin{aligned} & \left( \left[ \frac{\mathbf{I}_{N \times N} \otimes \mathbf{1}_{1 \times N}}{\mathbf{1}_{1 \times N} \otimes \mathbf{I}_{N \times N}} \right] \otimes \mathbf{1}_{1 \times K} \right) \left( \mathbf{I}_{M \times M} \otimes \mathbf{1}_{1 \times K}^\dagger \right) \mathbf{y} = \mathbf{1} \\ &= \left( \left[ \frac{\mathbf{I}_{N \times N} \otimes \mathbf{1}_{1 \times N}}{\mathbf{1}_{1 \times N} \otimes \mathbf{I}_{N \times N}} \right] \mathbf{I}_{M \times M} \right) \otimes \underbrace{\left( \mathbf{1}_{1 \times K} \mathbf{1}_{1 \times K}^\dagger \right)}_1 \mathbf{y} = \mathbf{1} \\ &= \left[ \frac{\mathbf{I}_{N \times N} \otimes \mathbf{1}_{1 \times N}}{\mathbf{1}_{1 \times N} \otimes \mathbf{I}_{N \times N}} \right] \mathbf{y} = \mathbf{1} \end{aligned}$$

# Optimization Problem

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## Original Problem

$$\max \mathbf{c}^T \mathbf{x}, \quad \text{subject to} \quad \left[ \frac{\mathbf{I}_{N \times N} \otimes \mathbf{1}_{1 \times N}}{\mathbf{1}_{1 \times N} \otimes \mathbf{I}_{N \times N}} \right] \otimes \mathbf{1}_{1 \times K} \mathbf{x} = \mathbf{1}$$

## Resultant Problem

$$\max \mathbf{d}^T \mathbf{y}, \quad \text{subject to} \quad \left[ \frac{\mathbf{I}_{N \times N} \otimes \mathbf{1}_{1 \times N}}{\mathbf{1}_{1 \times N} \otimes \mathbf{I}_{N \times N}} \right] \mathbf{y} = \mathbf{1}.$$

where  $\mathbf{d} = (\mathbf{I}_{M \times M} \otimes \mathbf{1}_{1 \times K}) \text{diag}(\mathbf{c}) \mathbf{x}$  and  $\mathbf{y} = (\mathbf{I}_{M \times M} \otimes \mathbf{1}_{1 \times K}) \mathbf{x}$

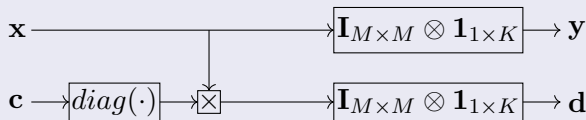
**Dimensionality reduction:**  $\rightarrow |\mathbf{x}| = MK \rightarrow |\mathbf{y}| = M.$

**The resultant problem can now be approached through the Kuhn-Munkres Algorithm.**

# Optimization Problem

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## Transformation

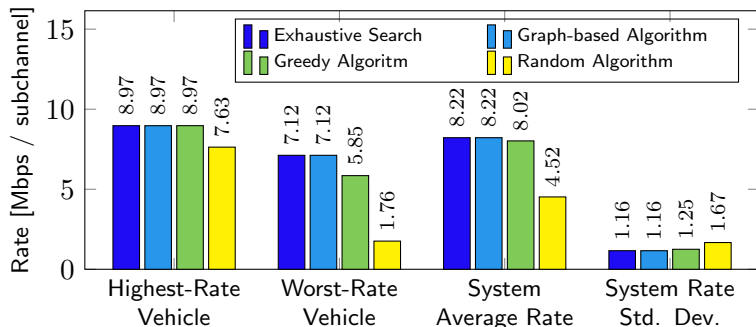


$$\mathbf{d} = \lim_{\beta \rightarrow \infty} \frac{1}{\beta} \log^{\circ} \left\{ (\mathbf{I}_{M \times M} \otimes \mathbf{1}_{1 \times K}) e^{\circ \beta \mathbf{c}} \right\}$$

$\log^{\circ}\{\cdot\}$ : Element-wise natural logarithm.  
 $e^{\circ\{\cdot\}}$  Hadamard exponential.

# Simulations: Data Rate per Vehicle

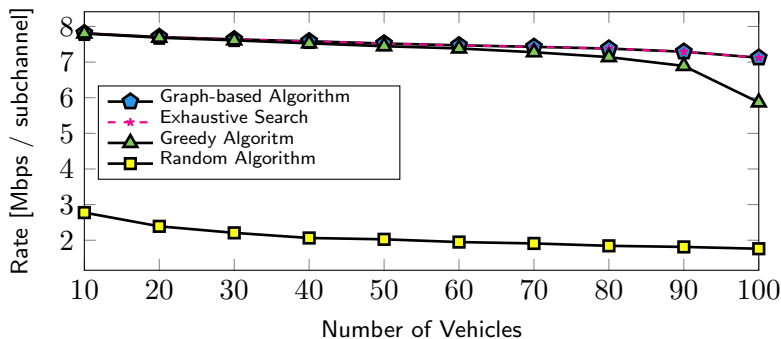
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Description	Value
Number of vehicles per cluster	100
Number of clusters	4
Message rate (Hz)	10
Number of allottable subframes	100
Number of resources per subframe	7

# Simulations: Least Favored Vehicle

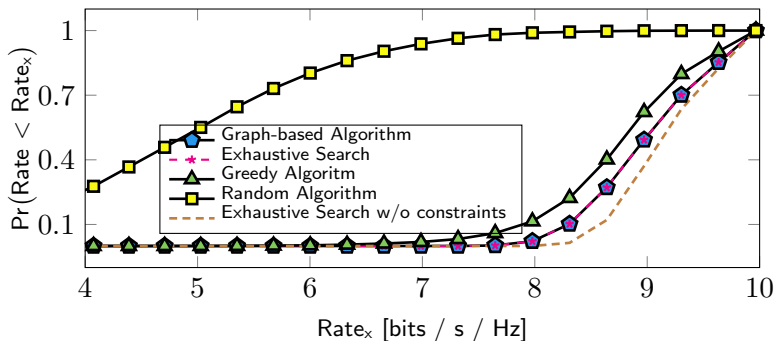
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Description	Value
Number of vehicles per cluster	10 - 100
Number of clusters	4
Message rate (Hz)	10
Number of allottable subframes	100
Number of resources per subframe	7

# Simulations: CDF of Rate Values

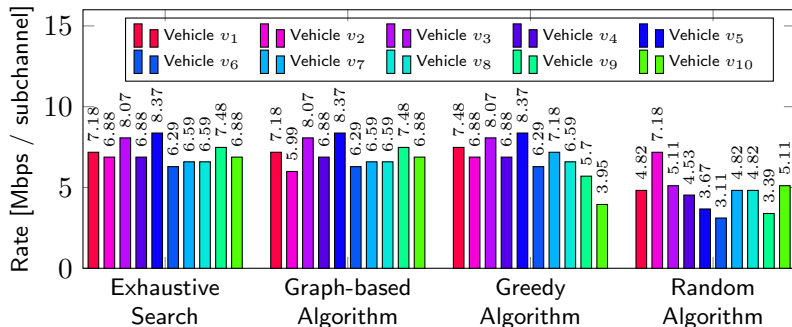
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Description	Value
Number of vehicles per cluster	100
Number of clusters	4
Message rate (Hz)	10
Number of allotable subframes	100
Number of resources per subframe	7

# Simulations: One-shot simulation

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Description	Value
Number of vehicles per cluster	10
Number of clusters	1
Message rate (Hz)	10
Number of allottable subframes	10
Number of resources per subframe	3

# Complexity

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- Exhaustive search:  $\mathcal{O}(|\mathcal{R}|!/(|\mathcal{R}| - |\mathcal{V}|)!)$
- Graph-based approach:  $\mathcal{O}(\max\{|\mathcal{V}|, |\mathcal{R}|/K\}^3)$
- Greedy algorithm:  $\mathcal{O}(|\mathcal{V}||\mathcal{R}|)$
- Random algorithm:  $\mathcal{O}(|\mathcal{V}|)$

$|\mathcal{V}|$ : Number of vehicles

$|\mathcal{R}|$ : Number of resources

$K$ : Number of subchannels per subframe



# Conclusions

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- A graph theoretical approach for subchannel allocation in V2V *mode-3* was presented.
- Subchannel conflict avoidance was enforced through graph vertex aggregation.
- For the case of independent vehicular clusters, the **proposed approach attains the same optimality as exhaustive search at lower complexity.**
- Although not explicitly enforced, the proposed scheme is capable of improving the rate fairness between vehicles.

# Questions

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Email: [l.f.abanto@gmail.com](mailto:l.f.abanto@gmail.com) / [l.f.abanto@tue.nl](mailto:l.f.abanto@tue.nl)